## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re ap	plication of:	Art Unit:	Not Assigned
Makoto	<b>УОКОІ</b>	Examiner	: Not Assigned
Serial N	No: Not Assigned		
Filed:	November 25, 2003		
For:	Image Pickup Device With Still Picture Pickup Function During Moving Picture Pickup Operation		
Mail Ste Commiss P.O. Box	SMITTAL OF INFORMATION OSURE STATEMENT op PATENT APPLICATION sioner for Patents 1450 ria, VA 22313-1450		
Dear Sir	s:		
1 8 1 1	The information disclosure statement submitt months of the filing date of the application oth application, or within three months of the date international application, or before the mailing merits, or before the mailing of a first Office accontinued examination under §1.114, whichev §1.97(b).	er than a cont e of entry into g date of a firs ction after the	tinued prosecution the national stage of an at Office Action on the filing of a request for
2. 🔲 7	The information disclosure statement transmi	tted herewith	is being filed after the

## §1.97(e) STATEMENT

§1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in

I, the person signing below, state:

that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filling of the statement. 37 C.F.R. §1.97(e)(1).

OR

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart

foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

# OR FEE Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed. The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d). §1.97(e) STATEMENT I, the person signing below, state: that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1). OR. that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2). AND FEE Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).

4. If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this petition is enclosed.

5. A list of 10 reference(s) is in the	enclosed Form PTO-1449.
<u> </u>	
NON-ENGLISH LAN	IGUAGE REFERENCES
	terpart application. The search report Examiner ncy of any non-English language references cited
☐ The specification incorporates comm references.	ents on the relevancy of Non-English language
Set forth below are comments provide relevancy of non-English language r	ed by the applicant's home country counsel on the eferences:
	Respectfully submitted, HOGAN & HARTSON L.L.P.
Date: November 25, 2003	By: My M
Biltmore Tower	Anthony J. Orler Registration No. 41,232
500 South Grand Avenue, Suite 1900	Attorney for Applicant(s)
Los Angeles, CA 90071	
Telephone: (213) 337-6700	
Facsimile: (213) 337-6701	

#### Express Mail Label No. EV 324 111 208 US **FORM PTO-1449 Docket Number (Optional) Application Number** 81784.0036 Not Assigned INFORMATION DISCLOSURE CITATION **Applicant** Makoto YOKOI IN AN APPLICATION (Use several sheets if necessary) **Filing Date Group Art Unit** November 25, 2003 Not Assigned **U.S. PATENT DOCUMENTS EXAMINER FILING DATE IF DOCUMENT NUMBER** DATE NAME **CLASS SUBCLASS** INITIAL **APPROPRIATE FOREIGN PATENT DOCUMENTS Translation CLASS SUBCLASS DOCUMENT NUMBER** DATE COUNTRY YES NO **Abstract** 05/30/03 **JAPAN** 2003-158653 **JAPAN Abstract** 2003-092724 03/28/03 2002-290908 10/04/02 **JAPAN** Abstract **Abstract** 2001-111934 04/20/01 **JAPAN Abstract** 2001-103361 04/13/01 **JAPAN Abstract** 2000-352759 12/19/00 **JAPAN JAPAN Abstract** 11-055617 02/26/99 10-200859 07/31/98 **JAPAN Abstract** Abstract 09-233410 09/05/97 **JAPAN** 09-200588 07/31/97 **JAPAN** Abstract OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re appli	cation of:	Art Unit:	Not Assigned
Makoto YC	OKOI	Examiner:	Not Assigned
Serial No:	Not Assigned		
Filed:	November 25, 2003		
For:	Image Pickup Device With Still Picture Pickup Function During Moving Picture Pickup Operation		

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commi P.O. Bo	top PATENT APPLICATION ssioner for Patents ox 1450 dria, VA 22313-1450
Dear Si	irs:
1. 🛚	The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2.	The information disclosure statement transmitted herewith is being filed after the period specified in §1.97(b), but before the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).
	§1.97(e) STATEMENT
	I, the person signing below, state:
	that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
	OR
	that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart

foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

### OR FEE

		<del></del>
		Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3.		The information disclosure statement transmitted herewith is being filed <i>after</i> the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).
		§1.97(e) STATEMENT
		I, the person signing below, state:
		that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
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		AND FEE
		Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4.	$\boxtimes$	If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment

necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this

petition is enclosed.

5.	A list of 10 reference(s) is in the	enclosed Form PTO-1449.
	NON-ENGLISH LAN	GUAGE REFERENCES
	Enclosed is a search report for a count has provided comments on the releva in the search report.	erpart application. The search report Examiner ncy of any non-English language references cited
	The specification incorporates commerceferences.	ents on the relevancy of Non-English language
	Set forth below are comments provide relevancy of non-English language r	d by the applicant's home country counsel on the eferences:
٠		Respectfully submitted, HOGAN & HARTSON L.L.P.
Da	e: November 25, 2003	By: Milhony J. Orler
500 Los Te	more Tower South Grand Avenue, Suite 1900 Angeles, CA 90071 ephone: (213) 337-6700 simile: (213) 337-6701	Registration No. 41,232 Attorney for Applicant(s)

## **FORM PTO-1449**

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional) 81784.0036 Application Number Not Assigned

**Applicant** 

Makoto YOKOI

Filing Date

November 25, 2003

Group Art Unit Not Assigned

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DOCUMENT NUMBER         DATE         COUNTRY         CLASS         SUBCLASS         YES           2003-158653         05/30/03         JAPAN         Abstract           2003-092724         03/28/03         JAPAN         Abstract           2002-290908         10/04/02         JAPAN         Abstract           2001-111934         04/20/01         JAPAN         Abstract           2001-103361         04/13/01         JAPAN         Abstract           2000-352759         12/19/00         JAPAN         Abstract           11-055617         02/26/99         JAPAN         Abstract	
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EXAMINER

**DATE CONSIDERED** 

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